

# AES Newsletter

Volume 1, Issue 4

September 1999

## The AES PUBLIC MEETING

### AES is coming to the West Coast

On October 27, 1999 the AES team of the Census Bureau and the Customs Service will hold an AES meeting in San Diego in conjunction with WESCCON, the annual conference of the Pacific Coast Council. Much has changed since the last West Coast meeting, and this information packed one-day agenda will include discussions on the new filing options, the Census Bureau's free *AESDirect.gov* internet filing service, and the new Sea Carrier module.

The meeting is free and open to all. Please register as soon as possible by calling Nan Clark on (301) 457-3787 or e-mailing [nannie.m.clark@ccmail.census.gov](mailto:nannie.m.clark@ccmail.census.gov). Local hotels are offering special room rates for the AES and WESCCON meetings. Please call the Hyatt Islandia (619) 224-1234, the Bahia Resort Hotel (619) 488-0551, the Hilton San Diego Resort (619) 276-4010 or the Dana Inn (619) 222-6440 for reservations. Book early, hotel rooms are filling quickly. For more information on WESCCON, please call Kathy Beaubien on (415) 292-6222 or e-mail [wesccon@aol.com](mailto:wesccon@aol.com). ♦

**AUTOMATED EXPORT SYSTEM SUPPORT TEAM**  
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## *AESDirect*

### ON-LINE OCTOBER 4

As part of the effort to make Government more efficient and boost U.S. exports, the Census Bureau will launch a new system enabling businesses to file export information at no cost over the Internet. The site

[www.aesdirect.gov](http://www.aesdirect.gov)

will allow exporters, freight forwarders and consolidators to file Shipper's Export Declaration (SED) information in an automated, cost-free way. It will be operational October 4.

Users of *AESDirect* will be able to submit export information on an interactive or batch basis. However, use of *AESDirect* is limited to those export shipments reported under AES Options 2 and 4 only. Option 2 requires that all information be reported prior to exportation. Option 4 is a post departure reporting program that is available for reporting shipments by or on behalf of approved exporters only.

Prior to using *AESDirect* for reporting SED information, you must register and complete the tutorial. While the site will be open for filing of SED information on October 4, it will be available earlier for pre-registration and completion of the tutorial. ♦



## OPTION 3 IMPLEMENTED

### *Option 3: AES with Partial Pre-Departure Information*

Option 3 was placed in service on September 11, 1999. The implementation of Option 3 fulfills the commitments in the Interest Based Negotiations (IBN). Option 3 is available for filling shipments where full data is not available prior to exportation. The key features of Option 3 are:

1. No prior approval of the exporter is required.
2. Allow exporters, or their forwarders, to file partial information (up to 14 data elements, see below) pre-departure.
3. Full data transmitted as soon as it is known, but no later than five (5) working days from the date of exportation.
4. May be used to report shipments subject to license or permit requirements other than State Department or Drug Enforcement Administration.

The exemption statement for Option 3 is the same as for Option 2:

No SED Required-AES Filer's EIN-  
Shipment Reference Number

#### Option 3 Pre-Departure Data Elements

- Exporter ID (EIN, DUNS, or SSN)
- Forwarder ID (EIN, DUNS, or SSN)
- Ultimate Consignee Name
- Carrier ID (SCAC or IATA)
- Method of Transportation Code
- Unique Shipment Reference Number
- Transportation Reference Number
- Commodity Description or Schedule B or HTS
- Intended U.S. Port of Export
- Estimated Date of Export
- Country of Ultimate Destination
- HAZMAT Indicator
- License Code
- License Number

## THE EXPORTER OF RECORD ISSUE

The supplementary notice of proposed rulemaking for the definition of the exporter is under review by the Office of Management and Budget (OMB). To read the full text, visit the Foreign Trade Division's website at [www.census.gov/foreign-trade/www](http://www.census.gov/foreign-trade/www)

## AES REGULATIONS

On July 28, 1999 the Department of Commerce and the Department of Treasury issued joint final rules implementing the regulations that support AES. These rules are the culmination of a cooperative effort between Census, Customs, and the trade. Now for the first time, there are regulations in place that define the export filing requirements, options, and procedures under AES. To read the full text of these rules, visit the Foreign Trade Division's website at [www.census.gov/foreign-trade/www](http://www.census.gov/foreign-trade/www)

## Changes to AES

### Effective September 1999

The Amendment to Foreign Trade Statistics Regulations (see above), allows used vehicles traded between the United States and Puerto Rico to be reported in AES using filing Option 4.

AES added a new AES-Commerce/BXA License type Code for encryption commodities and software, C50. The June 1999 Customs Proprietary Commodity Record Formats for AES indicates that an ECCN is required. This is not correct. License code C50 does not require an ECCN.

The Filing Option Indicator's Length/Class field was corrected to read 1AN (one position alphanumeric).

These and some additional explanatory changes were made in the Customs Proprietary Formats, available on the internet at:  
[www.customs.gov/aes](http://www.customs.gov/aes)

## AES ON THE ROAD

### Want to learn more about AES?

AES will be part of the following trade shows and seminars during September, October and November. Private companies are conducting most of these programs and there is a fee for attending. Census participation is limited to presenting information relating to the AES and other Census programs and does not indicate or imply endorsement of the sponsor. Contact the sponsor for more information.

<u>Date</u>	<u>City</u>	<u>Event</u>	<u>Sponsor</u>	<u>Phone No.</u>
Sep. 22-24	Miami, FL	Symposium of the Americas		305-871-7910
Sep. 23	Minneapolis, MN	Seminar	AEI (Forwarder)	203-655-5877
Oct. 3-5	New Orleans, LA	Breakbulk Transpo 99	Journal of Commerce	541-485-0053
Oct. 11	Jamaica, NY	Seminar	INCO 2000	419-865-6201
Oct. 12	Saddlebrook, NJ	Seminar	INCO 2000	419-865-6201
Oct. 13	New York, NY	Seminar	INCO 2000	419-865-6201
Oct. 14	Chicago, IL	Seminar	INCO 2000	419-865-6201
Oct. 14	San Francisco, CA	Seminar	AEI (Forwarder)	203-655-5877
Oct. 14-15	Norfolk, VA	Conference, World Trade	VA Chamber of Commerce	804-644-1607
Oct. 18	Phoenix, AZ	Seminar	INCO 2000	419-865-6201
Oct. 19	Los Angeles, CA	Seminar	INCO 2000	419-865-6201
Oct. 21	San Francisco, CA	Seminar	INCO 2000	419-865-6201
Oct. 25	Chicago, IL	Seminar	Journal of Commerce	514-485-0053
Oct. 26	Minneapolis, MN	Seminar	INCO 2000	419-865-6201
Oct. 27	St. Louis, MO	Seminar	INCO 2000	419-865-6201
<b>Oct. 27</b>	<b>San Diego, CA</b>	<b>Public Meeting</b>	<b>AES</b>	<b>301-457-3787</b>
Oct. 28-30	San Diego, CA	Trade Show	WESCCON	415-292-6222
Oct. 29	New Orleans, LA	Seminar	INCO 2000	419-865-6201
Nov. 1	Houston, TX	Seminar	INCO 2000	419-865-6201
Nov. 2	Dallas, TX	Seminar	INCO 2000	419-865-6201
Nov. 3	Denver, CO	Seminar	INCO 2000	419-865-6201
Nov. 4	Atlanta, GA	Seminar	INCO 2000	419-865-6201
Nov. 5	Tampa, FL	Seminar	INCO 2000	419-865-6201
Nov. 9	Charlotte, NC	Seminar	INCO 2000	419-865-6201
Nov. 10	Baltimore, MD	Seminar	INCO 2000	419-865-6201
Nov. 11	Charleston, SC	Seminar	INCO 2000	419-865-6201
Nov. 14-16	San Antonio, TX	Trade Show	NIT League	703-524-5011
Nov. 15	Detroit, MI	Seminar	INCO 2000	419-865-6201
Nov. 17	Cincinnati, OH	Seminar	INCO 2000	419-865-6201
Nov. 18	Columbus, OH	Seminar	INCO 2000	419-865-6201
Nov. 19	Cleveland, OH	Seminar	INCO 2000	419-865-6201

If you know of an organization that would like to sponsor a meeting featuring AES, please contact:

Hal Blyweiss:

Phone 301-457-3829

e-mail [Harold.L.Blyweiss@ccmail.census.gov](mailto:Harold.L.Blyweiss@ccmail.census.gov)

# The AERP Page

## Transitioning to AES

As you move your company from AERP to AES, please let your AERP representative know of your implementation plans – this will save them from making, and you from receiving, those calls each month regarding changes in volume of shipments. ♦

## We've Moved and Changed Our Name

For those of you who still haven't heard, the office responsible for AERP has a new name and room number. Please make a note of our new mailing address:

We are now: Bureau of the Census  
Foreign Trade Division  
Data Collection Coordination  
Branch  
F.O.B. #3, room 2179  
4700 Silver Hill Road  
Suitland, MD 20746

## The AERP Team:

Adam Wysocki – Program Manager  
[adam.james.wysocki@ccmail.census.gov](mailto:adam.james.wysocki@ccmail.census.gov)

Maxine Staples – Client Representative  
[maxine.l.staples@ccmail.census.gov](mailto:maxine.l.staples@ccmail.census.gov)

Karen Fadeley – Client Representative  
[karen.marie.fadeley@ccmail.census.gov](mailto:karen.marie.fadeley@ccmail.census.gov)

You can reach us by calling (301) 457-2259 or by the E-mail addresses noted above.

## The End is Near

### Do You know the End of the Year Procedures?

The AERP program will be discontinued December 31, 1999. If you are not fully operational in AES by then, here are the procedures for data submission:

1. Your November 1999 report must be received in our office by December 10, 1999.
2. Your December 1999 report must be received in our office by December 27, 1999. This will enable us to get back to you in the event there are any incomplete transmissions, blank or unreadable diskettes, or any problems with the data received. This will also enable us to correct any rejects by the cut-off date.
3. You must submit any previously unreported data or corrections to previously reported data to our office by November 30, 1999. This will also enable us to get back to you about errors, incomplete transmissions, and unreadable diskettes prior to the end of year processing. As well, you must respond to all reject reports faxed to you by your client representative prior to December 31, 1999.

Beginning on January 1, 2000, your only option for filing Shipper's Export Declarations electronically will be through AES. If you are not fully operational in AES on January 1, 2000, your only alternative is to manually file paper SEDs before departure with Customs at the port of export. Customs will be supplied the names of all AERP participants reverting back to paper to ensure that all proper documentation is filed. It is in your best interest to begin the transition to AES immediately to avoid the expense and inconvenience of filing paper. If you have questions, please call the AERP Team. ♦

### **We Need Your e-mail Address**

If you have not yet done so, please send your e-mail address to:

[AESLOI@ccmail.census.gov](mailto:AESLOI@ccmail.census.gov)

Include in the message the name of the company and the name of the contact person. This will help us get information to you quickly. ♦

### **If your Exemption Statement is wrong, your cargo could be held by Customs**

If you are concerned about, or have had problems with Customs in the past regarding your exemption statement, your Census Client representatives can verify the accuracy of your exemption notation – simply fax a sample document to your Census Client Representative with a note requesting a review.

There are two ways to note an AES SED exemption on an air waybill, ocean manifest or other commercial document. You may use the ITN (internal transaction number) that you receive as confirmation and acceptance of your transaction. Or you may use the XTN (external transaction number), which is a combination of the filer's EIN and the shipment reference number you've assigned. Please review your procedures and make sure you are correctly noting the SED exemptions. ♦

## **IMPORTANT PHONE NUMBERS AND E-MAIL ADDRESSES**

### **CENSUS CLIENT REPRESENTATIVES:**

WENDY PEEBLES	(301) 457-3540	WENDY.D.PEEBLES@CCMAIL.CENSUS.GOV
GERRY HORNER	(301) 457-3539	GERARD.J.HORNER@CCMAIL.CENSUS.GOV
PAUL NEWMAN	(301) 457-3540	PAUL.FREDRIC.NEWMAN@CCMAIL.CENSUS.GOV
KRISTAL BYRD	(301) 457-3541	KRISTAL.ANTOINETTE.BYRD@CCMAILCENSUS.GOV
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JACQUELYN MANN	(301) 457-3541	JACQUELYN.E.MANN@CCMAIL.CENSUS.GOV
LILY WONG	(301) 457-8012	LILY.C.WONG@CCMAIL.CENSUS.GOV
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JOEY MORALES	(301) 457-2259	JOEY.MORALES@CCMAIL.CENSUS.GOV
OMARI WOODEN	(301) 457-2259	OMARI.S.WOODEN@CCMAIL.CENSUS.GOV

REGULATIONS BRANCH (SED QUESTIONS): (301) 457-2238

COMMODITY ANALYSIS BRANCH (SCHEDULE B CLASSIFICATION QUESTIONS):

DURABLE GOODS: (301) 457-3259

NON-DURABLE GOODS: (301) 457-3484

BUREAU OF EXPORT ADMINISTRATION (LICENSING QUESTIONS) (202) 482-4811

AES MARKETING DIRECTOR, US CUSTOMS

LESLIE ALBERTSON (703) 921-7470 LESLIE.S.ALBERTSON@CUSTOMS.TREAS.GOV

## **IMPORTANT WEB SITES:**

CENSUS BUREAU, FOREIGN TRADE DIVISION	<a href="http://www.census.gov/foreign-trade/www">HTTP://WWW.CENSUS.GOV/FOREIGN-TRADE/WWW</a>
U.S. CUSTOMS SERVICE	<a href="http://www.customs.gov/aes">HTTP://WWW.CUSTOMS.GOV/AES</a>
BUREAU OF EXPORT ADMINISTRATION:	<a href="http://www.bxa.doc.gov">HTTP://WWW.BXA.DOC.GOV</a>